

# **EXHIBIT 5**

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**From:** Landsman, Roger J  
**Sent:** Tuesday, September 28, 2021 10:38 AM  
**To:** 'Senderowitz, Stephen J.'; Hitchins, Kathleen M  
**Cc:** Henkin, Douglas W.  
**Subject:** RE: Mirror Protocol Follow-up (HO-14164)

Stephen,

Thank you for the below email. Currently, Kathleen and I can speak anytime between 1:30 and 3:30pm ET today or 1:30 and 3pm ET tomorrow. Please let us know what time you prefer.

The staff served subpoenas on Mr. Kwon pursuant to Rule 150(d)(1). If you have further questions, we can discuss when we speak.

If you would like a copy of the Formal Order, your signed request should be addressed to Assistant Director Reid Muoio. Reid is in the Home Office, so the address is 100 F Street, NE, Washington, D.C. 20549. You can email Kathleen and me a copy of the request and we will process it. Below is sample language to use in your request:

*"The undersigned represents [client's name] in the above captioned matter. Pursuant to 17 C.F.R. §203.7 [I/we] hereby request on behalf of [my/our] client[s] to be furnished with a copy of the Commission's Formal Order of Investigation in the above matter. [I/We] warrant that the Formal Order and information contained therein will remain confidential and will not be disseminated to any person or party except [my/our] client[s] for use in connection with [my/our] representation of [him/her/it/them] in this matter."*

Please let me know if you have any questions. We look forward to talking soon.

Regards,

Roger Landsman  
(202) 551-7501

[Roger Landsman](#)  
(202) 551-7501

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**From:** Senderowitz, Stephen J. <stephen.senderowitz@dentons.com>  
**Sent:** Sunday, September 26, 2021 5:58 AM  
**To:** Landsman, Roger J <landsmanr@SEC.GOV>; Hitchins, Kathleen M <hitchinsk@SEC.GOV>  
**Cc:** Henkin, Douglas W. <douglas.henkin@dentons.com>  
**Subject:** RE: Mirror Protocol Follow-up (HO-14164)

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Roger,

In response to your below request, we can have a call on Tuesday afternoon. Please suggest available times.

In connection with the subpoenas attached to your 9/20/21 email, we request a copy of the order entered pursuant to Rule 150(b) of the Rules of Practice by the Commission authorizing personal service of the subpoenas upon Mr. Kwon.

Further, we request pursuant to Rule 7 of the Commission's Rules Relating to Investigations that you show us a copy of the formal order of investigation for HO-14164. Also please advise me of the identity and address of the specific Assistant Director I need to contact to request that a copy of the formal order be provided to us.

Thank you for your cooperation with the above requests.

Very truly yours,

Stephen



Stephen J. Senderowitz  
Partner

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**From:** Landsman, Roger J <[landsmanr@SEC.GOV](mailto:landsmanr@SEC.GOV)>

**Sent:** Friday, September 24, 2021 3:32 PM

**To:** Senderowitz, Stephen J. <[stephen.senderowitz@dentons.com](mailto:stephen.senderowitz@dentons.com)>; Henkin, Douglas W. <[douglas.henkin@dentons.com](mailto:douglas.henkin@dentons.com)>; Hitchins, Kathleen M <[hitchinsk@SEC.GOV](mailto:hitchinsk@SEC.GOV)>

**Subject:** Mirror Protocol Follow-up (HO-14164)

**[WARNING: EXTERNAL SENDER]**

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Stephen:

I am following up on the voicemail I left earlier today. We would like to touch base regarding the emails I sent earlier in the week. Please let us know when you have a time to speak.

Regards,

Roger Landsman  
Attorney  
Division of Enforcement  
U.S. Securities and Exchange Commission  
100 F Street, NE  
Washington, D.C. 20549  
Tel: (202) 551-7501